

Privacy Policy

Stella Asset株式会社 / Stella Asset Co., Ltd.

Stella Asset Co., Ltd. (hereinafter, the "Company") recognizes that the proper management of personal information is an important social responsibility. Accordingly, the Company establishes, implements, and maintains the following Privacy Policy.

English (U.S.)

Translated from the Japanese original provided by Stella Asset Co., Ltd. In the event of any discrepancy in interpretation, the Japanese original shall prevail.

1. Basic Policy on Personal Information Management

With respect to personal information obtained from customers (hereinafter, "Personal Information"), the Company will strive to ensure proper handling and protection in accordance with this Policy and the Company's prescribed internal rules.

2. Purposes of Use

The Company uses the Personal Information it obtains for the following purposes:

- Review of membership registration and investor registration (including account opening)
- Applications for investment and related procedures
- Acceptance of investment funds and fund management
- Payment of distributions
- Bank transfer procedures
- Information on products and services
- Information on seminars, advertising, solicitations, and similar matters
- Performance of obligations based on laws, regulations, and contracts
- Market research, analysis, and product development
- Any other matters necessary for the proper execution of transactions

3. Outsourcing

When outsourcing part of its operations to external parties, the Company may provide Personal Information to such contractors after implementing appropriate protective measures.

4. Joint Use

The Company jointly uses Personal Information as follows:

- Information subject to joint use: name, address, date of birth, contact details, transaction information, transaction history and needs information, asset and liability information, risk management information, and information necessary for group management.
- Parties with whom the information is jointly used: group companies listed on the Company's website.
- Purpose of joint use: the purposes described in Section 2.
- Party responsible for management: Stella Asset Co., Ltd.

5. Provision to Third Parties

The Company will not provide Personal Information to third parties except in the following cases:

- Where the individual has given consent
- Where the information is provided in a form that does not identify any individual
- Where required by law
- Where necessary to protect life, body, or property
- Where necessary for public health or the protection of children
- Where cooperation with a public authority is required

6. Disclosure, Correction, Suspension of Use, etc.

If you wish to request disclosure, correction, suspension of use, deletion, or similar action regarding Personal Information, please apply in accordance with the procedures prescribed by the Company.

7. If You Do Not Agree

If you do not agree to this Policy, or if you do not provide the Personal Information necessary for the Company, the Company may be unable to provide services or respond to inquiries.

8. Contact Information

530-0043

2-1-12 Tenma, Kita-ku, Osaka-shi, Osaka, Japan

Attention: Personal Information Management Officer, Stella Asset Co., Ltd.

Telephone: 06-6940-4777

Reception hours: Weekdays 9:00–18:00

Established on: April 1, 2026